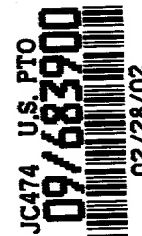


Electronic Filing System (EFS) Data
Electronic Patent Application Submission
USPTO Use Only

EFS ID: 14052
Application ID: 09683900
Title of Invention: MACHINE STATOR AND
FABRICATION METHOD
First Named Inventor: Gerald Kliman
Domestic/Foreign Application: Domestic Application
Filing Date: null
Effective Receipt Date: 2002-02-28
Submission Type: Utility Patent Filing
Filing Type: new-utility
Confirmation Number: 0
Attorney Docket Number: RD-28364
Digital Certificate Holder: cn=Ann M. Agosti, ou=Registered Attorneys, ou=Patent and
Trademark Office, ou=Department of Commerce, o=U.S.
Government, c=US
Certificate Message Digest: ytzkPNhmolAS3g/mg3+SdQ==
Total Fees Authorized: \$1464.0
Payment Category: DA - Deposit Account
Deposit Account Number: 70868
Deposit Account Name: Ann M. Agosti



TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket
Number:

RD-
28364

Submission Type: Utility Patent
Filing

MACHINE STATOR AND FABRICATION METHOD

First Named Inventor: Gerald Burt Kliman

SUBMITTED BY

| | |
|--------------------------------|-----------------------|
| Name: | Ann M. Agosti |
| Registration Number: | 37372 |
| Electronic Signature Mark: AMA | Date Signed: 20020228 |

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

| | |
|--------------------|-----------------------|
| declaration | DEC1OF2.tif |
| declaration | DEC2OF2.tif |
| patent-assignments | agostiRD28364asgn.xml |
| fee-transmittal | agostiRD28364fee.xml |

specification

28364.xml

bibd-transmittal

agostiRD28364apds.xml

Attached Image File(s):

DEC1OF2.tif

DEC2OF2.tif

09683900

1841
 1842
 1843
 1844
 1845
 1846
 1847
 1848
 1849
 1850
 1851
 1852
 1853
 1854
 1855
 1856
 1857
 1858
 1859
 1860
 1861
 1862
 1863
 1864
 1865
 1866
 1867
 1868
 1869
 1870
 1871
 1872
 1873
 1874
 1875
 1876
 1877
 1878
 1879
 1880
 1881
 1882
 1883
 1884
 1885
 1886
 1887
 1888
 1889
 1890
 1891
 1892
 1893
 1894
 1895
 1896
 1897
 1898
 1899
 1900
 1901
 1902
 1903
 1904
 1905
 1906
 1907
 1908
 1909
 1910
 1911
 1912
 1913
 1914
 1915
 1916
 1917
 1918
 1919
 1920
 1921
 1922
 1923
 1924
 1925
 1926
 1927
 1928
 1929
 1930
 1931
 1932
 1933
 1934
 1935
 1936
 1937
 1938
 1939
 1940
 1941
 1942
 1943
 1944
 1945
 1946
 1947
 1948
 1949
 1950
 1951
 1952
 1953
 1954
 1955
 1956
 1957
 1958
 1959
 1960
 1961
 1962
 1963
 1964
 1965
 1966
 1967
 1968
 1969
 1970
 1971
 1972
 1973
 1974
 1975
 1976
 1977
 1978
 1979
 1980
 1981
 1982
 1983
 1984
 1985
 1986
 1987
 1988
 1989
 1990
 1991
 1992
 1993
 1994
 1995
 1996
 1997
 1998
 1999
 2000
 2001
 2002
 2003
 2004
 2005
 2006
 2007
 2008
 2009
 2010
 2011
 2012
 2013
 2014
 2015
 2016
 2017
 2018
 2019
 2020
 2021
 2022
 2023
 2024
 2025
 2026
 2027
 2028
 2029
 2030
 2031
 2032
 2033
 2034
 2035
 2036
 2037
 2038
 2039
 2040
 2041
 2042
 2043
 2044
 2045
 2046
 2047
 2048
 2049
 2050
 2051
 2052
 2053
 2054
 2055
 2056
 2057
 2058
 2059
 2060
 2061
 2062
 2063
 2064
 2065
 2066
 2067
 2068
 2069
 2070
 2071
 2072
 2073
 2074
 2075
 2076
 2077
 2078
 2079
 2080
 2081
 2082
 2083
 2084
 2085
 2086
 2087
 2088
 2089
 2090
 2091
 2092
 2093
 2094
 2095
 2096
 2097
 2098
 2099
 2100
 2101
 2102
 2103
 2104
 2105
 2106
 2107
 2108
 2109
 2110
 2111
 2112
 2113
 2114
 2115
 2116
 2117
 2118
 2119
 2120
 2121
 2122
 2123
 2124
 2125
 2126
 2127
 2128
 2129
 2130
 2131
 2132
 2133
 2134
 2135
 2136
 2137
 2138
 2139
 2140
 2141
 2142
 2143
 2144
 2145
 2146
 2147
 2148
 2149
 2150
 2151
 2152
 2153
 2154
 2155
 2156
 2157
 2158
 2159
 2160
 2161
 2162
 2163
 2164
 2165
 2166
 2167
 2168
 2169
 2170
 2171
 2172
 2173
 2174
 2175
 2176
 2177
 2178
 2179
 2180
 2181
 2182
 2183
 2184
 2185
 2186
 2187
 2188
 2189
 2190
 2191
 2192
 2193
 2194
 2195
 2196
 2197
 2198
 2199
 2200
 2201
 2202
 2203
 2204
 2205
 2206
 2207
 2208
 2209
 2210
 2211
 2212
 2213
 2214
 2215
 2216
 2217
 2218
 2219
 2220
 2221
 2222
 2223
 2224
 2225
 2226
 2227
 2228
 2229
 2230
 2231
 2232
 2233
 2234
 2235
 2236
 2237
 2238
 2239
 2240
 2241
 2242
 2243
 2244
 2245
 2246
 2247
 2248
 2249
 2250
 2251
 2252
 2253
 2254
 2255
 2256
 2257
 2258
 2259
 2260
 2261
 2262
 2263
 2264
 2265
 2266
 2267
 2268
 2269
 2270
 2271
 2272
 2273
 2274
 2275
 2276
 2277
 2278
 2279
 2280
 2281
 2282
 2283
 2284
 2285
 2286
 2287
 2288
 2289
 2290
 2291
 2292
 2293
 2294
 2295

DECLARATION FOR PATENT APPLICATION

Docket Number
RD-28,364

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MACHINE STATOR AND FABRICATION METHOD

the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as United States Application Number or PCT International Application Number _____
and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a date before that of the application on which priority is claimed.

Prior Foreign Application

Priority Claimed

| | | | |
|-------------------|--------------------|---------------------------------|--|
| _____ (Number) | _____ (Country) | _____ (Day/Month/Year Filed) | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| _____ (Number) | _____ (Country) | _____ (Day/Month/Year Filed) | <input type="checkbox"/> Yes <input type="checkbox"/> No |

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

| | |
|-------------------------------|------------------------|
| _____ (Application Number) | _____ (Filing Date) |
| _____ (Application Number) | _____ (Filing Date) |

I hereby claim the benefit under Title 35, United States Code §120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date the prior application and the national or PCT international filing date of this application.

| | | |
|-------------------------------|------------------------|--|
| _____ (Application Number) | _____ (Filing Date) | _____ (Status - patented, pending, abandoned) |
| _____ (Application Number) | _____ (Filing Date) | _____ (Status - patented, pending, abandoned) |

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, **CUSTOMER NO. 006147.**

Ann Marie Agosti, Reg. No. 37,372

Christian G. Cabou, Reg. No. 35,467, Jill M. Breedlove, Reg. No. 32,684, Donald S. Ingraham, Reg. No. 33,714, Noreen C. Johnson, Reg. No. 38,929, Patrick K. Patnode, Reg. No. 40,121, Ronald E. Myrick, Reg. No. 26,315, Henry J. Policinski, Reg. No. 26,621, James W. Mitchell, Reg. No. 25,602, Bernard Snyder, Reg. No. 24,843, Catherine J. Winter, Reg. No. 38,364, and John F. Beninati, Reg. No. 40,510.

Address all telephone calls to: ANN M. AGOSTI at telephone number (518) 387-7713

Address all correspondence to: **General Electric Company**
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information or belief are believed to be true and further that these statements were made with the knowledge that willful false statements and those like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SOLE OR FIRST INVENTOR:

Full name: GERALD BURT KLIMAN

First Name

Middle Name

Last Name

Signature: Gerald Burt Kliman

Date Feb 26, 2002

Residence: NISKAYUNA, NY

City and State

Citizenship: USA

Post Office Address: 2263 SWEETBRIER RD., NISKAYUNA, NY 12309

SECOND JOINT INVENTOR:

Full name: GUANZHONG (NMN) GAO

First Name

Middle Name

Last Name

Signature: Gao Guo

Date 2/27/2002

Residence: NISKAYUNA, NY

City and State

Citizenship: CHINA

Post Office Address: 11 EDISON DR., NISKAYUNA, NY 12309

THIRD JOINT INVENTOR:

Full name: _____

First Name

Middle Name

Last Name

Signature: _____

Date _____

Residence: _____

City and State

Citizenship: _____

Post Office Address: _____

FOURTH JOINT INVENTOR:

Full name: _____

First Name

Middle Name

Last Name

Signature: _____

Date _____

Residence: _____

City and State

Citizenship: _____

Post Office Address: _____

FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 1464

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 07-0868



Deposit Account Name: General Electric CRD

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

SUBMITTED BY

Authorized Name: Ann M. Agosti

Electronic Signature Mark: AMA

Date Signed: 20020228

BASIC FILING FEE

| Fee Description | Fee Code | Fee Paid |
|--------------------|----------|----------|
| Utility Filing Fee | 101 | \$ 740 |

Subtotal For Basic Filing Fee: \$ 740

EXTRA CLAIM FEES

| | Fee Code | Fee | Extra Claims | Fee Paid |
|-----------------------|----------|-------|--------------|----------|
| Total Claims: 44 | 103 | \$ 18 | 24 | \$ 432 |
| Independent Claims: 6 | 102 | \$ 84 | 3 | \$ 252 |

Subtotal For Extra Claims Fees: \$ 684

ADDITIONAL FEES

| Fee Description | Number | Quantity | Fee Code | Amount | Fee Paid |
|---|----------|----------|----------|--------|----------|
| Recording Each Patent Assignment Per Property Fee | 00000000 | 1 | 581 | \$ 40 | \$ 40 |

Subtotal For Additional Fees: \$ 40